

Conflict of Interest Questionnaire

1. What is your name and address:
2. What is your main occupation?
3. Are you on the Board of Directors of Bay Aging and do you work for any company whose business or holdings have any business relationship to those of Bay Aging?

Yes _____ No _____

If the answer is yes, give the names of the companies and percentage of ownership.

4. Has Bay Aging made a loan, grant, gift or scholarship, either directly or indirectly, to you or your family during the last twelve months?

Yes _____ No _____

If the answer is yes, specify loan and circumstances.

5. Has Bay Aging made any loan, grant and/or gift within the last twelve months to any entity in which you or a member of your family have an affiliation?

Yes _____ No _____

If the answer is yes, please indicate the name of the entity receiving the loan, the amount and circumstances surrounding it.

6. Is Bay Aging leasing real or personal property from you or any of your associates or family?

Yes _____ No _____

If the answer is yes, please provide details of such lease.

7. Have you (directly, indirectly, either individually or as a principal, agent or beneficiary or through ownership of any substantial interest in any other entity) received any compensation or other pecuniary benefit for negotiating, procuring, recommending or aiding in the purchase or sale of property owned by Bay Aging or in obtaining any loan from Bay Aging?

Yes ____ No ____

If yes, specify the circumstances.

8. Are you an officer or member of the governing board of any other entity or charitable organization?

Yes ____ No ____

If the answer is yes, please list the names of the companies and your position.

9. If you are a full time officer of Bay Aging do you engage in work for any other entity or charitable organization?

Yes ____ No ____

If the answer is yes, please give details.

10. Please indicate whether, in your opinion, any of your present duties, interests or activities, or any contemplated duties, interests or activities, could be considered as creating a conflict between your personal interest and your duties and obligations to Bay Aging. If none, state none. If there is, please indicate in detail on a separate sheet in what way. In particular, identify any corporation or enterprise in which you have an interest that does business with Bay Aging.

11. During the past year have you or any member of your family received anything of material value by way of compensation, gift, loan or otherwise, from any person, firm or corporation doing a material amount of business with Bay Aging?

Yes ____ No ____

Please give details if answer is yes.

12. Do you agree to inform Bay Aging if you should engage in any activity in the future that might be considered as a possible conflict of interest, so that the matter can be reviewed, if necessary, by the Board of Directors?

Yes ____ No ____

I have read and understand the foregoing Conflict of Interest Policy and Questionnaire. I agree to its terms and my actions have been and will continue to be guided thereby.

Date: _____ Signature _____

Attach an additional page to provide answers if necessary.